



Patent  
P56413

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

YOUNG-MIN NAM

Serial No.: 09/977,290

Examiner: *To be assigned*

Filed: 16 October 2001

Art Unit: 2652

For: DIGITAL MAGNETIC RECORDING/REPRODUCING DEVICE FO  
RECORDING/REPRODUCING PLURAL TYPES OF AUDIO DATA, AND  
RECORDING/REPRODUCING METHOD THEREFOR

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

The Assistant Commissioner  
for Patents  
Washington, DC 20231

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Sir:

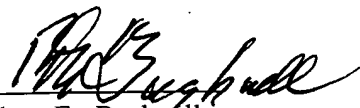
The attorney for the Applicant notes that the Official Filing Receipt (PTO-103X) for the above referenced Application bears an error as follows:

1. **Correct:** in the Title,  
from  
Digital magnetic recording/reproducing device for recording/reproducing plural types of audio data, and  
recording/reproducing method "**therefore**"  
to  
Digital magnetic recording/reproducing device for recording/reproducing plural types of audio data, and  
recording/reproducing method **--therefor--**

To support this Request, copies of Declaration and Assignment are enclosed.

Please correct and re-issue a Corrected Filing Receipt including changes mentioned above.

Respectfully submitted,

  
Robert E. Bushnell  
Attorney for the Applicant  
Reg. No.: 27,774

1522 "K" Street, N.W., Suite 300  
Washington, DC 20005-1245  
(202) 408-9040  
Folio: P56413  
Date: 11/16/1  
I.D.: REB/mn



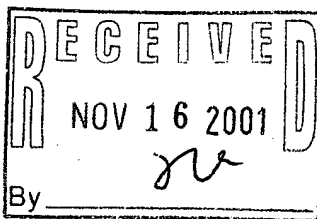
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/977,290	10/16/2001	2652	1058	P56413	4	33	4

CONFIRMATION NO. 2052

Robert E. Bushnell  
 1522 K Street, N.W., Suite 300  
 Washington, DC 20005-1202



## FILING RECEIPT



\*OC000000007060559\*

Date Mailed: 11/13/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Young-Min Nam, Suwon-city, KOREA, REPUBLIC OF;

## Domestic Priority data as claimed by applicant

## Foreign Applications

REPUBLIC OF KOREA 2530/2001 01/17/2001

If Required, Foreign Filing License Granted 11/13/2001

Projected Publication Date: 07/18/2002

Non-Publication Request: No

Early Publication Request: No

## Title

Digital magnetic recording/reproducing device for recording/reproducing plural types of audio data, and recording/reproducing method ~~therefor~~

therefor

## Preliminary Class

369

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**LICENSE FOR FOREIGN FILING UNDER**  
**Title 35, United States Code, Section 184**  
**Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

AS A BELOW NAMED INVENTOR, I hereby declare that  
My residence, post office address and citizenship are as stated next to my name.

I believe that I am the original, first and sole (if only one name is listed below), or an original, first and joint inventor (if plural names are listed below), of the subject matter which is claimed and for which a patent is sought on the invention entitled:

**TITLE: DIGITAL MAGNETIC RECORDING/REPRODUCING DEVICE FOR  
RECORDING/REPRODUCING PLURAL TYPES OF AUDIO DATA, AND  
RECORDING/REPRODUCING METHOD THEREFOR**

the specification of which either is attached hereto or otherwise accompanies this Declaration, or:

☐ was filed in the U.S. Patent & Trademark Office on \_\_\_\_\_ and assigned Serial No. \_\_\_\_\_

☐ and (if applicable) was amended on \_\_\_\_\_

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above. I acknowledge the duty to disclose information which is material to patentability and to the examination of this application in accordance with Title 37 of the Code of Federal Regulations §1.56. I hereby claim foreign priority benefits under Title 35, U.S. Code §119(a)-(d) or §365(b) of any foreign application(s) for patent or inventor's certificate, or §365(a) of any PCT International application which designated at least one country other than the United States, or §119(e) of any United States provisional application(s), listed below and have also identified below any foreign applications for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Priority Claimed:  
Yes [ X ] No [ ]

Yes [ ] No [ ]

2530/2001	Republic of Korea	17 January 2001
(Application Number)	(Country)	(Day/Month/Year filed)
_____	_____	_____
(Application Number)	(Country)	(Day/Month/Year filed)

I hereby claim the benefit under Title 35, U.S. Code, §120, of any United States application(s), or §365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application(s) in the manner provided by the first paragraph of Title 35, U.S. Code, §112, I acknowledge the duty to disclose information material to patentability as defined in Title 37, The Code of Federal Regulations, §1.56(a) which became available between the filing date of the prior application and the national or PCT international filing date of this application:

_____	_____	_____
(Application Serial No.)	(Filing Date)	(STATUS: patented, pending, abandoned)
_____	_____	_____
(Application Serial No.)	(Filing Date)	(STATUS: patented, pending, abandoned)

I hereby revoke all previously granted powers of attorney and appoint the following attorneys: Robert E. Bushnell, Reg. No. 27,774, Michael D. Parker, Reg. No. 34,973, and Darren R. Crew, Reg. No. 37,806, to prosecute this application and to transact all business in the U.S. Patent & Trademark Office connected therewith and with any divisional, continuation, continuation-in-part, reissue or re-examination application, with full power of appointment and with full power to substitute an associate attorney or agent, and to receive all patents which may issue thereon, and request that all correspondence be addressed to:

Robert E. Bushnell,  
Attorney-at-Law  
Suite 300, 1522 "K" Street, N.W.  
Washington, D.C. 20005-1202  
Payor No. 008439  
Area Code: 202-408-9040

I HEREBY DECLARE that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under §1001 of Title 18 U.S. Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

FULL NAME OF FIRST OR SOLE INVENTOR: YOUNG-MIN NAM Citizenship: Republic of Korea  
Inventor's signature: YOUNG-MIN NAM Date: October 9, 2001  
Residence & Post Office Address: 3-1406, Samsung 1<sup>st</sup> Apartment, Maetan-4-dong, Paldal-gu, Suwon-city, Kyungki-do, Republic of Korea

FULL NAME OF SECOND JOINT INVENTOR: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence & Post Office Address: \_\_\_\_\_

FULL NAME OF THIRD JOINT INVENTOR: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence & Post Office Address: \_\_\_\_\_

FULL NAME OF FOURTH JOINT INVENTOR: \_\_\_\_\_ Citizenship: \_\_\_\_\_  
Inventor's signature: \_\_\_\_\_ Date: \_\_\_\_\_  
Residence & Post Office Address: \_\_\_\_\_

☐ Additional inventors are being named on separately numbered sheets attached hereto.

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# ASSIGNMENT

DOCKET No. P56413

AS A BELOW NAMED INVENTOR, I, and each of us, individually hereby declare that:  
IN CONSIDERATION of the sum of TEN (\$10.00) dollars or the equivalent thereof, and other good and valuable consideration, the receipt of which from the hereinafter named Assignee is hereby acknowledged, I do hereby sell, assign and forever grant and convey unto:

ASSIGNEE: **SAMSUNG ELECTRONICS CO., LTD.,**  
*a corporation organized under the laws of the Republic of Korea,*  
ADDRESS: 416 Maetan-dong  
Paldal-gu, Suwon-city, Kyungki-do  
Republic of KOREA,

Who is my Assignee, and to the successors and assigns of my Assignee, all my right, title and interest, in and for the United States of America and all other countries, including all rights of priority, in and to the invention entitled:

TITLE: ***DIGITAL MAGNETIC RECORDING/REPRODUCING DEVICE FOR  
RECORDING/REPRODUCING PLURAL TYPES OF AUDIO DATA, AND  
RECORDING/REPRODUCING METHOD THEREFOR***

invented by me (if only one inventor is named below) or us (if more than one inventor is named below) and described in an application for a United States patent the specification of which is either attached hereto or otherwise accompanies this Assignment, or is more particularly identified as:



executed on even date herewith, or



Serial No. \_\_\_\_\_ filed in the U.S. Patent & Trademark Office on \_\_\_\_\_, or



executed on \_\_\_\_\_

and in and to all United States patents which may be granted thereon and therefore, and in and to all certificates of corrections, divisions, continuations, continuations-in-part, reissued and re-examined patents, and to any extensions thereof, said interest being the entire ownership of the patent when granted, to be held and enjoyed by said SAMSUNG ELECTRONICS CO., LTD., my Assignee, its successors, assigns or other legal representatives, to the full end of the term, terms, or any extension or renewal thereof, for which said patent or patents may be granted, as fully and entirely as the same would have been held and enjoyed by me or us if this assignment, sale and conveyance had not been made;

AND I hereby covenant and agree to sign and execute, without receiving any money or other consideration, any further documents or instruments which may from time-to-time be either necessary, lawful, proper or requested by the Assignee, in the prosecution of the above-named application or in the preparation and prosecution of all substitute applications, certificate of correction, division, continuation, continuation-in-part, reissue, re-examination, in any amendment, extension, or interference proceeding, whether administrative or judicial, or otherwise, to secure the title hereto in said Assignee, together with the right of priority under the International Convention for the Protection of Industrial Property, Inter-American Convention Relating to Patents, Designs and Industrial Models, and any other international agreement or treaty to which the United States of America adheres;

AND I do hereby authorize and request the Commissioner of Patent & Trademarks to issue said Patent or Patents to the Assignee, and I hereby appoint as my, or our attorney, and authorize and request R. E. Bushnell, Reg. No. 27,774, to insert on this Assignment any further identification or to complete such identification which may be either necessary or desirable in order to comply the rules of the United States Patent & Trademark Office for recordation of this document.

IN WITNESS WHEREOF, I and we have set our hands and seals on the dates written beside my and our respective names:

INVENTOR(s)

Date Signed

Witness(es)

1) YOUNG-MIN NAM (Seal)  
YOUNG-MIN NAM

October 9, 2001

J. Y. Jang  
Ji-young JANG

2) \_\_\_\_\_ (Seal)

\_\_\_\_\_

\_\_\_\_\_

3) \_\_\_\_\_ (Seal)

\_\_\_\_\_

\_\_\_\_\_

4) \_\_\_\_\_ (Seal)

\_\_\_\_\_

\_\_\_\_\_



Additional inventors are being named on separately numbered sheets attached hereto.